

09-07-2020

To

The Manager  
Listing Department  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Mumbai- 400001  
  
Scrip Code: 522074

The Manager  
Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, 5th Floor, Plot No. C/I,  
G Block, Bandra-Kurla Complex,  
Bandra-East, Mumbai- 400 051  
Symbol: ELGIEQUIP

Dear Sir,

**SUB: Statement on Investor Complaints for the quarter ended 30/06/2020 pursuant to Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Pursuant to Regulation 13(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the statement on Investor Complaints for the quarter ended 30/06/2020 is given below:

**STATEMENT ON INVESTOR COMPLAINTS FOR THE QUARTER ENDED 30/06/2020**


Number of Investor Complaints pending at the beginning of the quarter	0
Investor Complaints received during the quarter	0
Investor Complaints disposed of during the quarter	0
Investor Complaints remaining unresolved at the end of the quarter	0

Kindly take the above in your records and acknowledge.

Thanking you,

Yours Faithfully,

For **Elgi Equipments Limited**



**Ragunathan K**

**Company Secretary**

3<sup>rd</sup> July, 2020

To  
The Company Secretary  
Elgi Equipments Limited  
Elgi Industrial Complex,  
Trichy Road, Singanallur,  
Coimbatore - 641005.

Dear Sir,

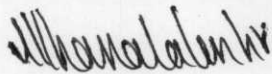
Sub: Investor Grievance Certificate for the Quarter ended 30th June, 2020.

Please find listed below details of the Investor complaints / requests, received and redressed at our end during the quarter ended 30<sup>th</sup> June, 2020 (01.04.2020 to 30.06.2020).

Investors Complaints / Requests	No of Complaints
Total Investors complaints pending at the beginning of the quarter.	00
Total complaints received through Correspondence during the quarter.	00
Total complaints received from N.S.E during the quarter.	00
Total complaints received from B.S.E during the quarter.	00
Total complaints received from SEBI during the quarter.	00
Total complaints resolved /replied during the quarter.	00
Total Investors complaints pending at the ending of the quarter.	00

Kindly acknowledge the receipt of the same.

Yours truly,  
For Link Intime India Pvt Ltd,



S Dhanalakshmi  
Head - Coimbatore Branch

## Important Note :

As you are aware, due to COVID 19 pandemic and the proactive steps taken by Govt of India in declaring a 21 days lockdown effective from March 24, 2020 midnight and further extensions in lockdown announced by the Govt. of India and various State Governments and prior to this date the advisory/prohibitory orders issued by the Government of India and various State Governments effective from mid of March 2020, based on representation made by Registrars Association of India (RAIN), SEBI vide their Circular No. SEBI/HO/MIRSD/RTAMB/CIR/P/2020/59 dated April 13, 2020 has informed RTAs, Issuer Companies and market participants and provided relaxation for handling Investor Correspondence/Grievances/Complaints with the equivalent period of lockdown declared by the Government of India i.e. 21 days over and above the prescribed time limits and in the event of further extension in the lockdown period as directed by the Government of India/State Governments additional relaxation in prescribed timelines for equal number of extended days in lockdown. SEBI also vide their various circulars, had relaxed the timelines by different durations for various activities/investor requests/compliances.

In view of the prevailing Covid-19 pandemic and the continuation of partial lockdown and prohibitory orders issued by the Government of India and various state governments, normalcy is yet to be restored, major part of the critical operations of RTAs are being carried out by working remotely from home by the employees with restricted resources as available. The Government of India and various state governments have also advised citizens to remain at home to the maximum extent possible and venture out only in case it is absolutely necessary.

Even though some of the state governments have relaxed certain norms for private sector establishments, states like Maharashtra have allowed only 10% of the employees to attend office. The state government, local bodies and politicians have also advised citizens not to venture out to reach workplaces unless it is absolutely critical and essential and to continue to work from home in order to avoid/contain the spread of Covid-19 infection and thus endangering human lives. Employees are not in a position to reach offices in view of non-availability of trains and adequate public transport. This has resulted in most of the RTAs working from home and only absolutely need based critical staff at office.

It is not out of place to mention that the postal services are not functioning fully while the courier services are completely stopped. Further, the employees of our client companies are also not attending the offices and only a few of their secretarial staff are working from home. Due to the non-availability of officials at company, there are delays in getting approvals / signing and returning of certificates after approval.

In view of the above, certain investor correspondence/grievances/complaints inwards by our office from the period third week/last week of February, 2020 which was to be processed and released within 30 days as the case may be, might not have been processed/released since our office was functional with bare minimum staff reporting to office from mid-March. Also, since the postal services are not functioning fully while the courier services are completely stopped, during this challenging times certain documents might have been despatched by the investor/intermediary but not received by us/not inwards, certain transactions/requests might have been processed/released by us but physical documents could not be despatched which has arisen due to these extraordinary circumstances.

Regulation 13(3) of SEBI (LODR) Regulations, 2015 reports may reflect certain investor correspondence/grievances/complaints inwarded by our office from the period third week/last week of February, 2020 onwards which was to be processed and released within 30 days as the case may be, might not have been processed/released, processed/released by us but replies/physical documents could not be despatched for the reasons mentioned above. These are extraordinary circumstances beyond the control of RTAs.

*Additional clarifications (if required) in respect of issues faced by us releasing the demat requests after static data updation:*

*It is also pertinent to note that from the month of February 2020, most of the RTAs were experiencing problems in releasing the demat requests received from the shareholders through their DPs, as there have been lot of issues at both the Depositories end after the static data of the shareholders were uploaded with the depositories including matching of data between depositories, DN database syncing between the depositories, different file formats etc. resulting in delay in meeting the timelines by the RTAs in demat processing and release due to these inconsistencies. Please note during this challenging times certain transaction processed might be pending for release and certain DRN generated by DPs electronically but physical documents might not have been despatched by them or might have been despatched by the DP but not received by us/not inwarded which has arisen due to the extraordinary circumstances as explained above.*