



November 2, 2022

National Stock Exchange of India Ltd.
Exchange Plaza,
C-1, Block G Bandra Kurla Complex
Bandra (E)
Mumbai - 400 051

BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai - 400 001

Through: NSE Digital Exchange

Through: BSE Listing Centre

Dear Sir/Madam,

Sub: Reporting of Violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

NSE Scrip Code: ELGIEQUIP / BSE Scrip Code: 522074

With respect to the above subject, enclosed please find the report of violation relating to code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 in the prescribed form.

Kindly acknowledge receipt of this report and update your records.

Thanking you,

Yours faithfully,

For Elgi Equipments Limited

**S Prakash
Company Secretary**

Encl: a/a

Report by Elgi Equipments Limited (listed company) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

S No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Elgi Equipments Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	-
	ii. PAN of the DP	-
	iii. Designation of DP	-
	iv. Functional Role of DP	-
	v. Whether DP is Promoter or belongs to Promoter Group	-
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Mr. Arjun Karivardhan
	ii. PAN of the immediate relative of DP	AFBPA2971G
	C. Details of transaction(s)	
	i. Name of the scrip	Elgi Equipments Limited
	ii. No of shares traded and value (Rs.) (Date- wise)	No. of shares: 1500 Total consideration: Rs.5,80,575/- Date: October 12, 2022
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable as the traded value is less than Rs.10 lacs in the calendar quarter

	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable as the traded value is less than Rs.10 lacs in the calendar quarter
4	Details of violations observed under Code of Conduct	(i) No pre-clearance obtained; and (ii) trading during window closure period.
5	Action taken by Listed company/ Intermediary/ Fiduciary	As prescribed in the Code of the Company: a) the Compliance Officer of the Company had issued a warning to Mr. Arjun Karivardhan as to not to trade without obtaining pre-clearance. b) A fine of Rs. 58,058/- was levied for trading during window closure period. The penalty was remitted by Mr. Arjun Karivardhan on October 20, 2022 to the account of SEBI-IPEF.
6	Reasons recorded in writing for taking action stated above	Considering the fact that Mr. Arjun Karivardhan, was not in possession of any unpublished price sensitive information and that the default was not repetitive in nature and that the shares purchased represented a nominal portion of the total share capital of the Company, the action taken was commensurate with the violation as detailed in point 4 above.
7	Details of the previous instances of violations, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online / Demand Draft
	ii. Details of transfer/payment	
	In case of Online:	
	Particulars	Details
	Name of the transferor	Mr. Arjun Karivardhan
	Bank Name, branch and Account number	Kotak Mahindra Bank
	UTR/Transaction reference Number	KKBKH22293936818
	Transaction date	October 20, 2022
	Transaction Amount (in Rs.)	Rs. 58,058/-



9	Any other relevant information	Nil
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Yours faithfully,

Date: November 2, 2022

Place: Coimbatore

Name and Signature of Compliance Officer:
For Elgi Equipments Limited

S Prakash
Company Secretary and Compliance Officer

PAN: BQCPS1647A

Email ID: investor@elgi.com

ELGi EQUIPMENTS LIMITED

Trichy Road, Singanallur, Coimbatore - 641005, Tamilnadu, India.

T+91 422 2589 555 , **W** www.elgi.com, **TOLL - FREE NO:** 1800-425-3544 | 1800-203-3544, **CIN NO:** L29120TZ1960PLC000351